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Discoverability of Regulatory Rulebooks

Use of W3C Semantic Web standards to publish US and EU regulatory rulebooks

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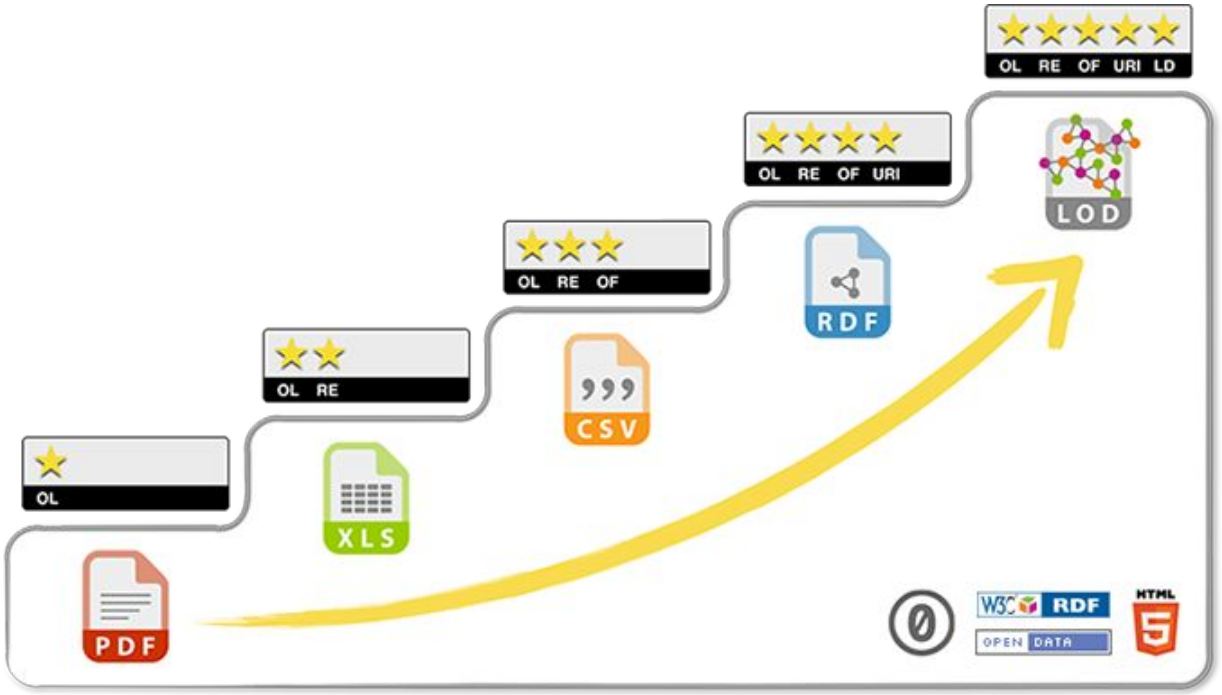
Model-Driven Machine Executable Regulation

- Increasing interest from regulators and regulated firms
- Main beneficiaries are regulated firms, investors, and regulators
- Target state of publishing executable regulation
- Initial step of making content of rulebooks and regulatory communications discoverable

Discoverability of Data on the Web

- W3C Linked Data standards such as RDF, RDFS, OWL and SPARQL
- Existing metadata and thesaurus standards such as DC, SKOS
- Newer ones to help with discoverability of datasets such as CSVW and DCAT

5-Star Linked Open Data



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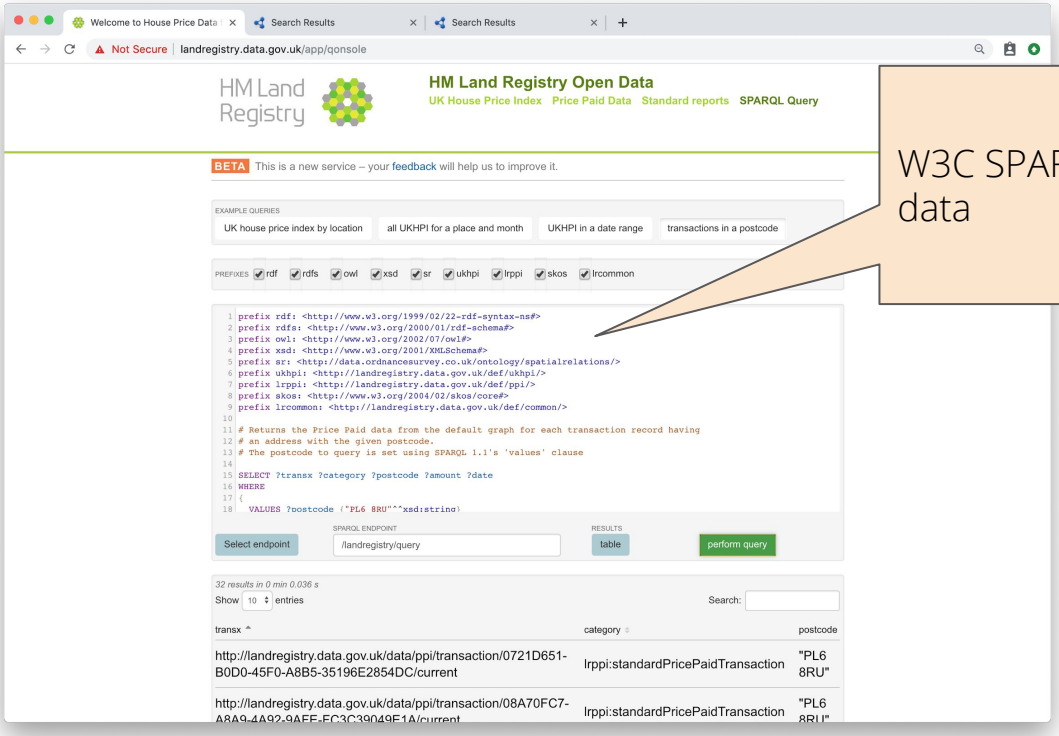
HM Land Registry (UK)



W3C SPARQL to retrieve the data

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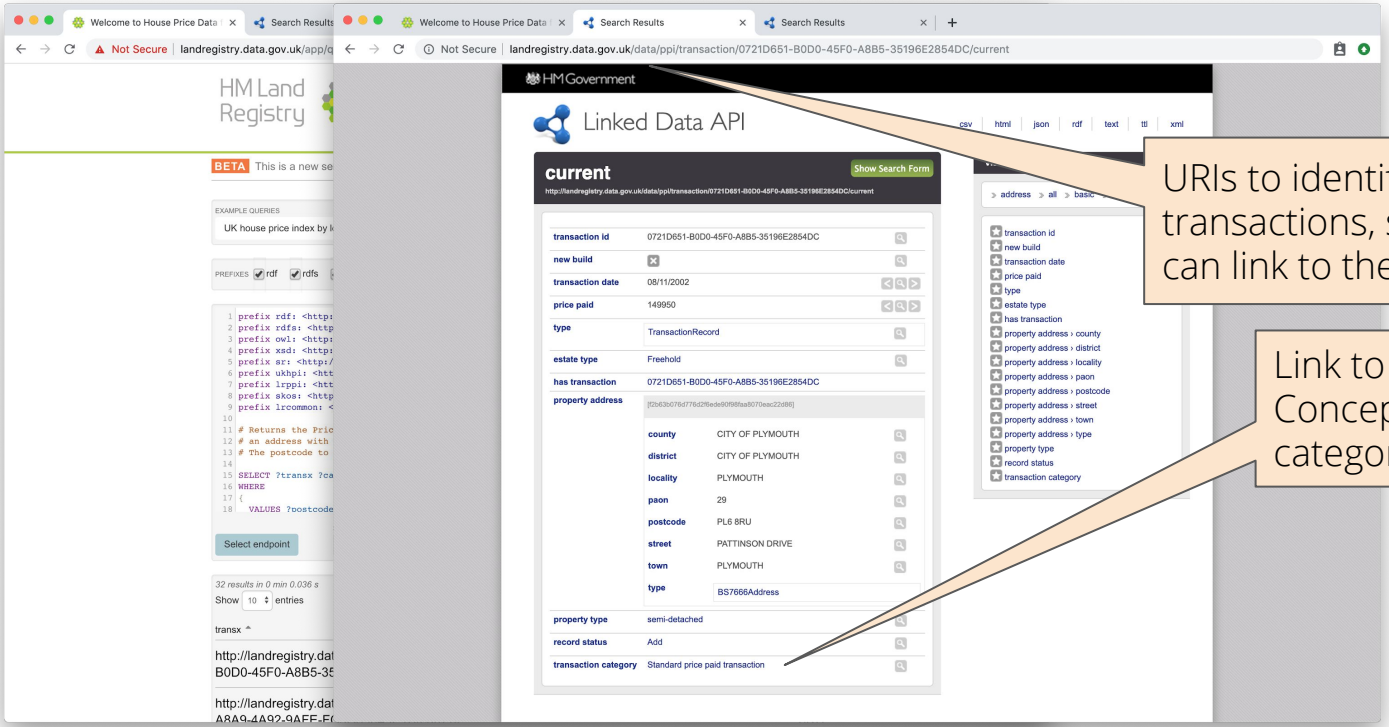

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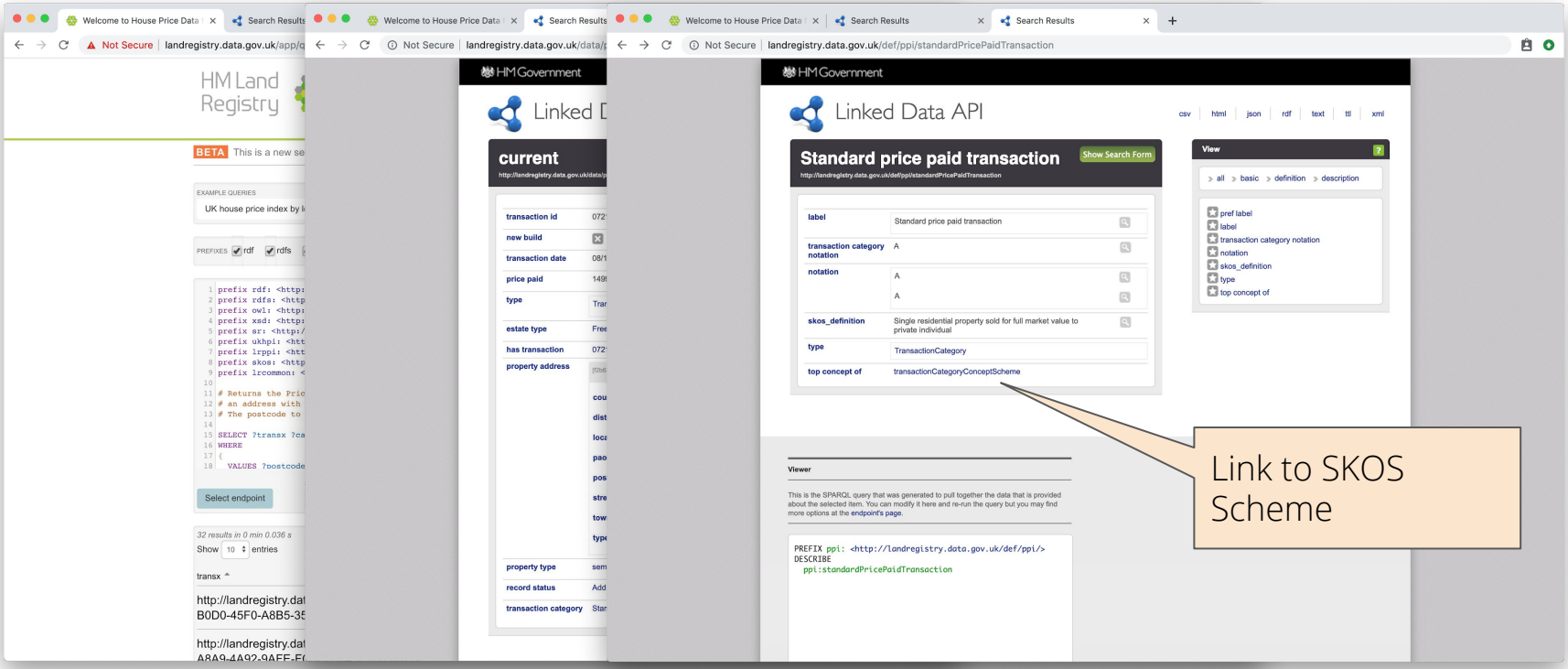

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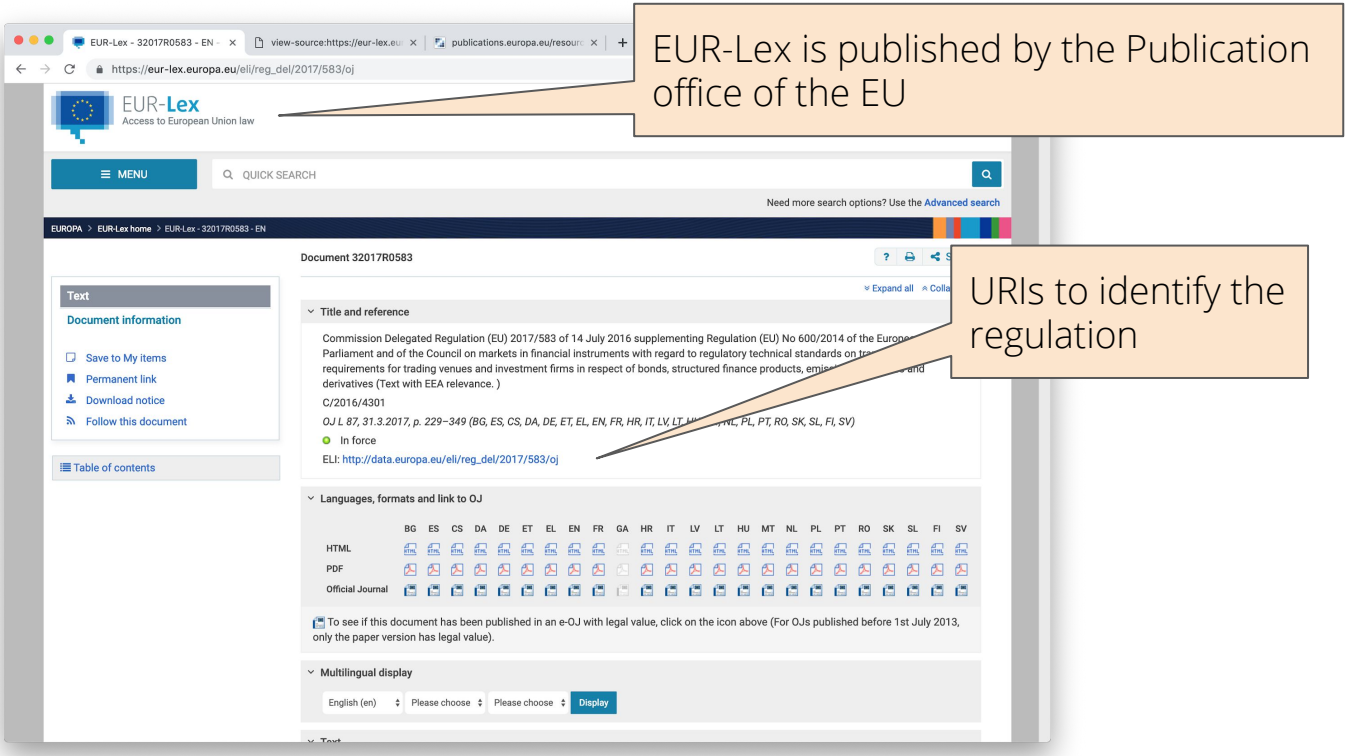
Regulatory Rulebooks on the Web

- Existing metadata and thesaurus standards such as DC, SKOS
- Existing legislation ontologies such as ELI, schema.org
- Existing schemas such as DLXS

5-Star Linked Regulatory Rulebooks

- Reuse the original 5-star ranking
- Axis of granularity (none, document, section, paragraph, sub-paragraph)
- Axis of semantic richness (none, glossary, taxonomy, thesaurus, ontology)

ESMA (EU Agency)



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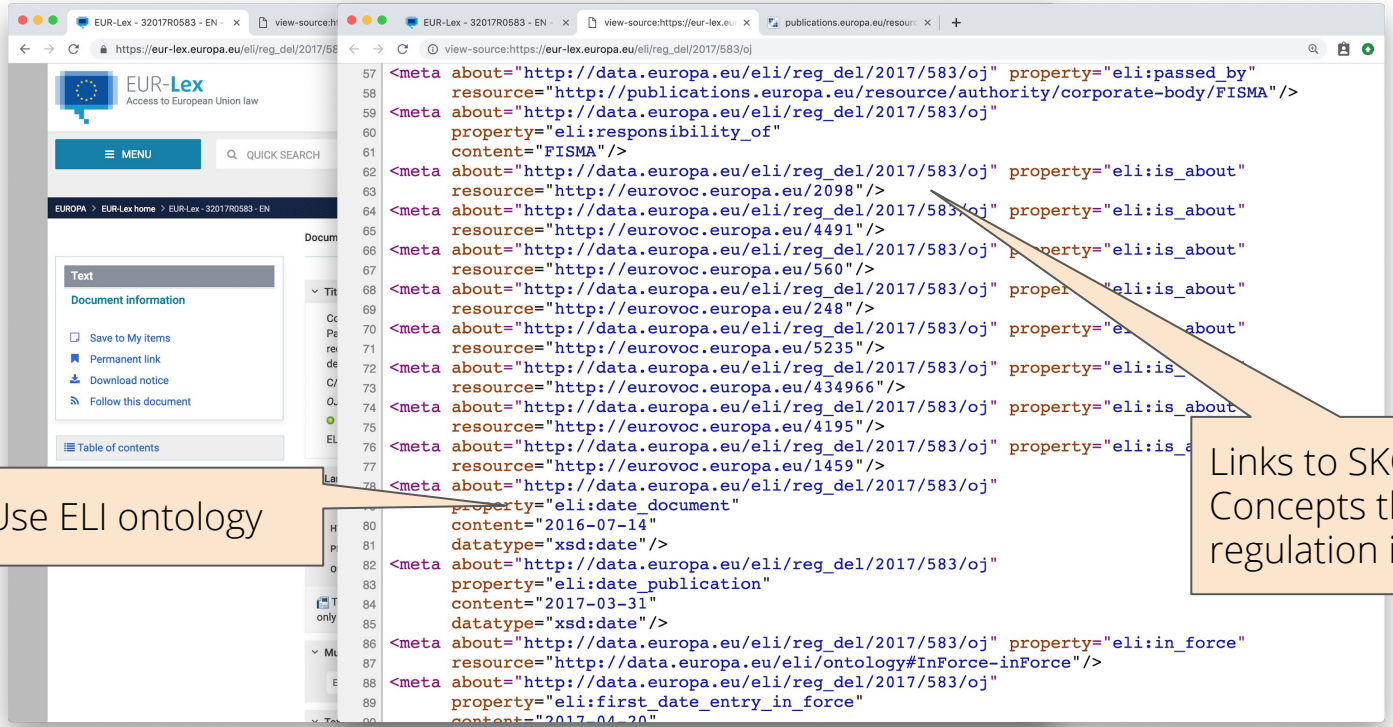

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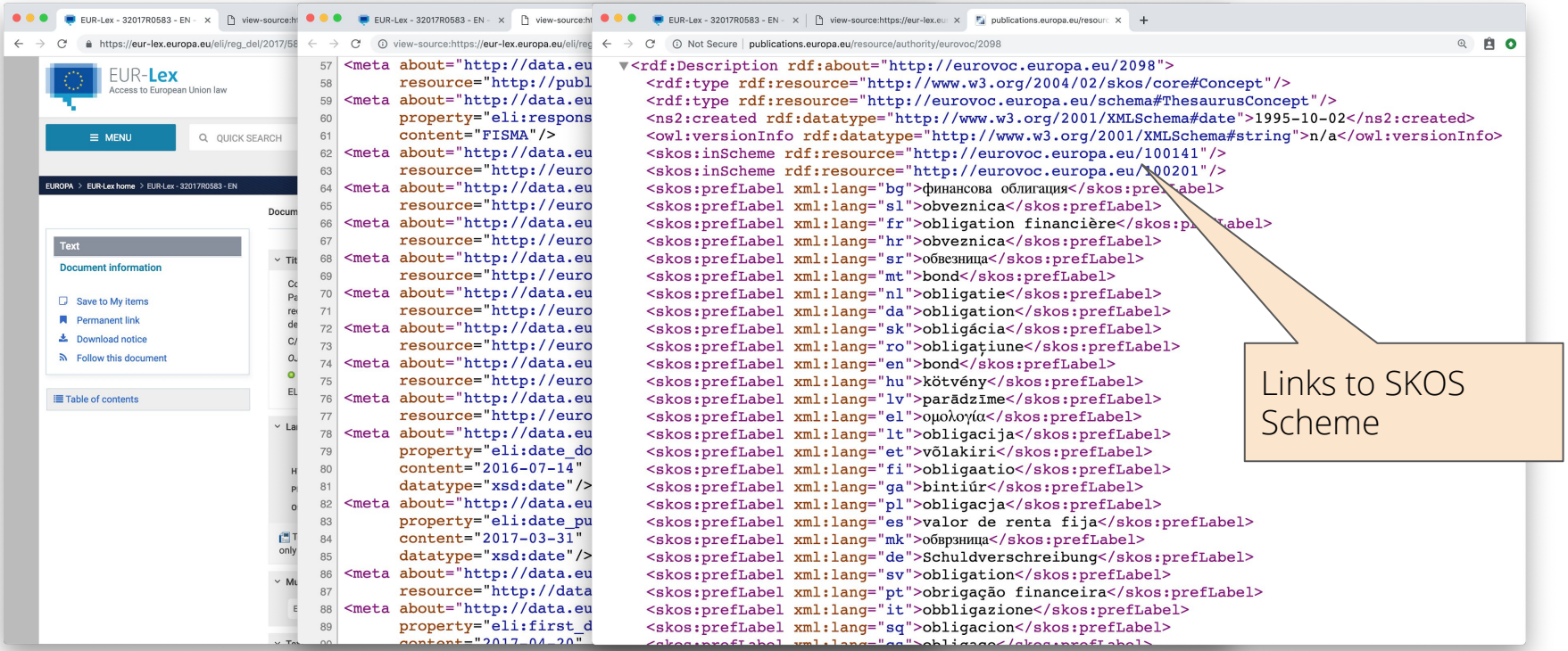

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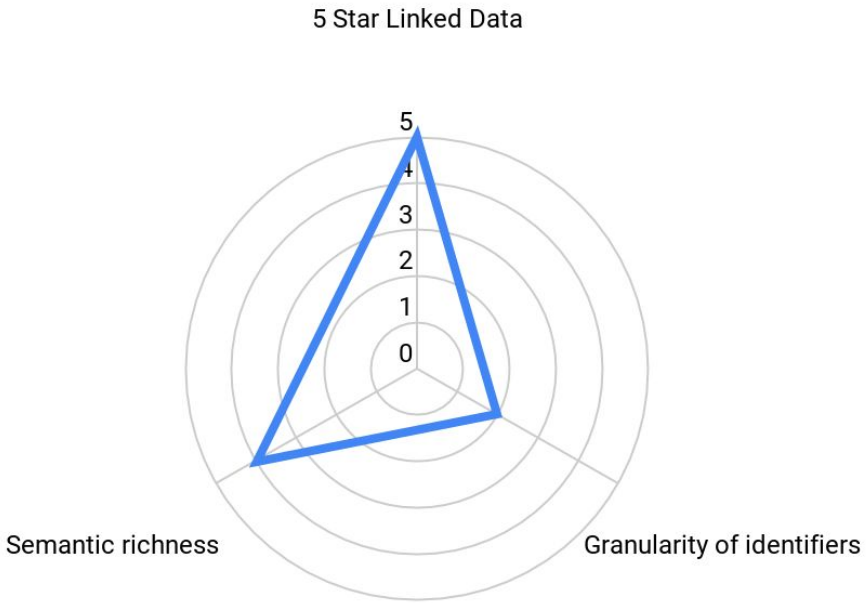

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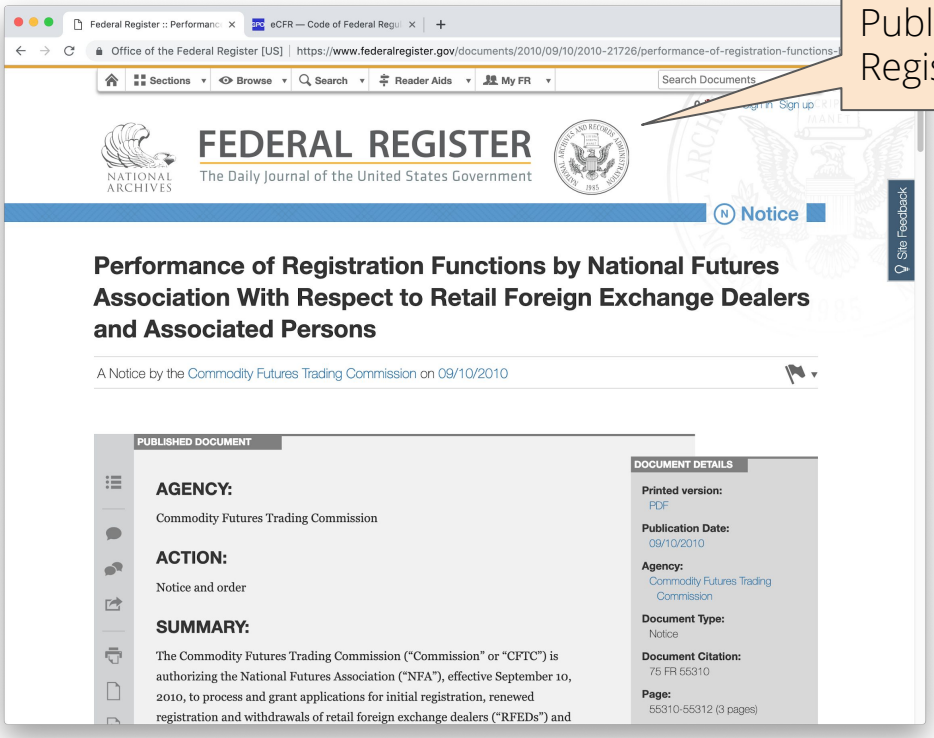
CFTC (US Federal Agency)



Published daily by the Office of National Register

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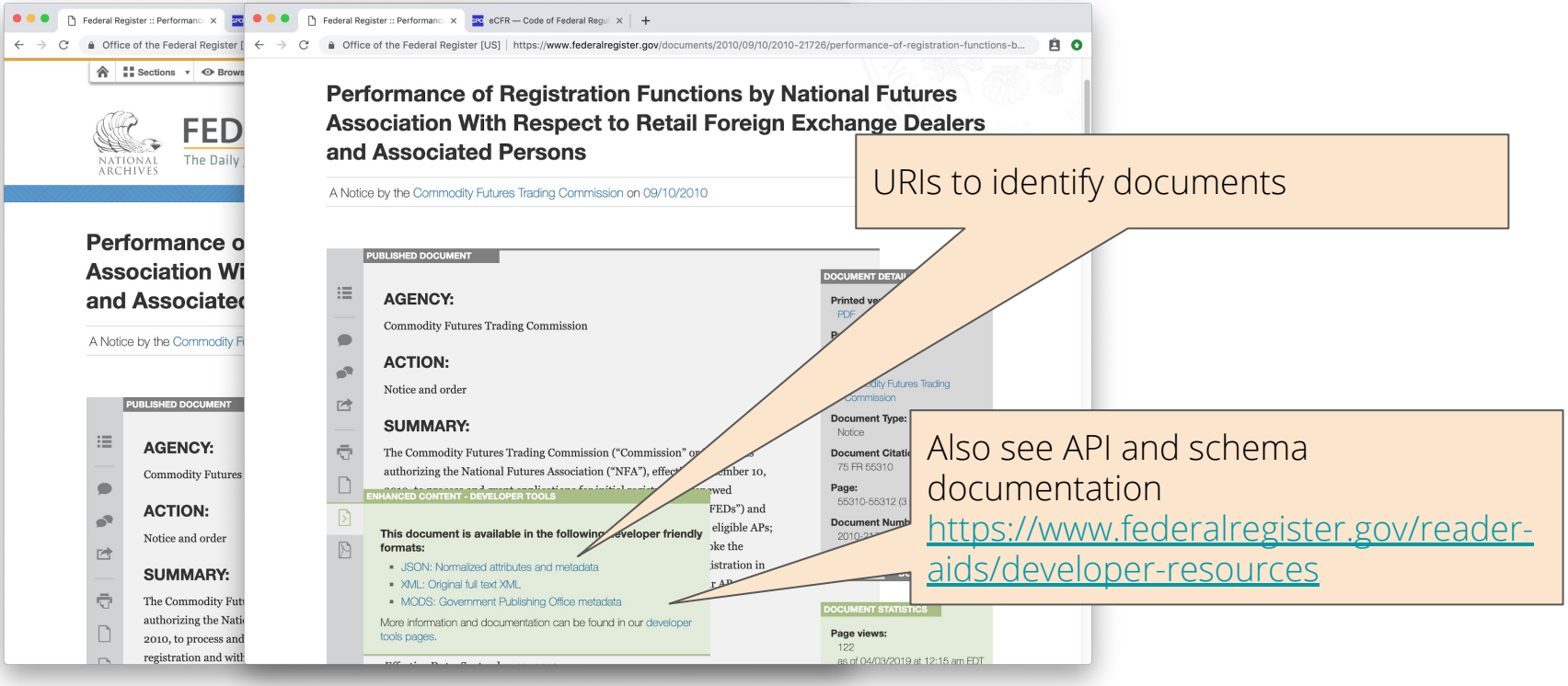

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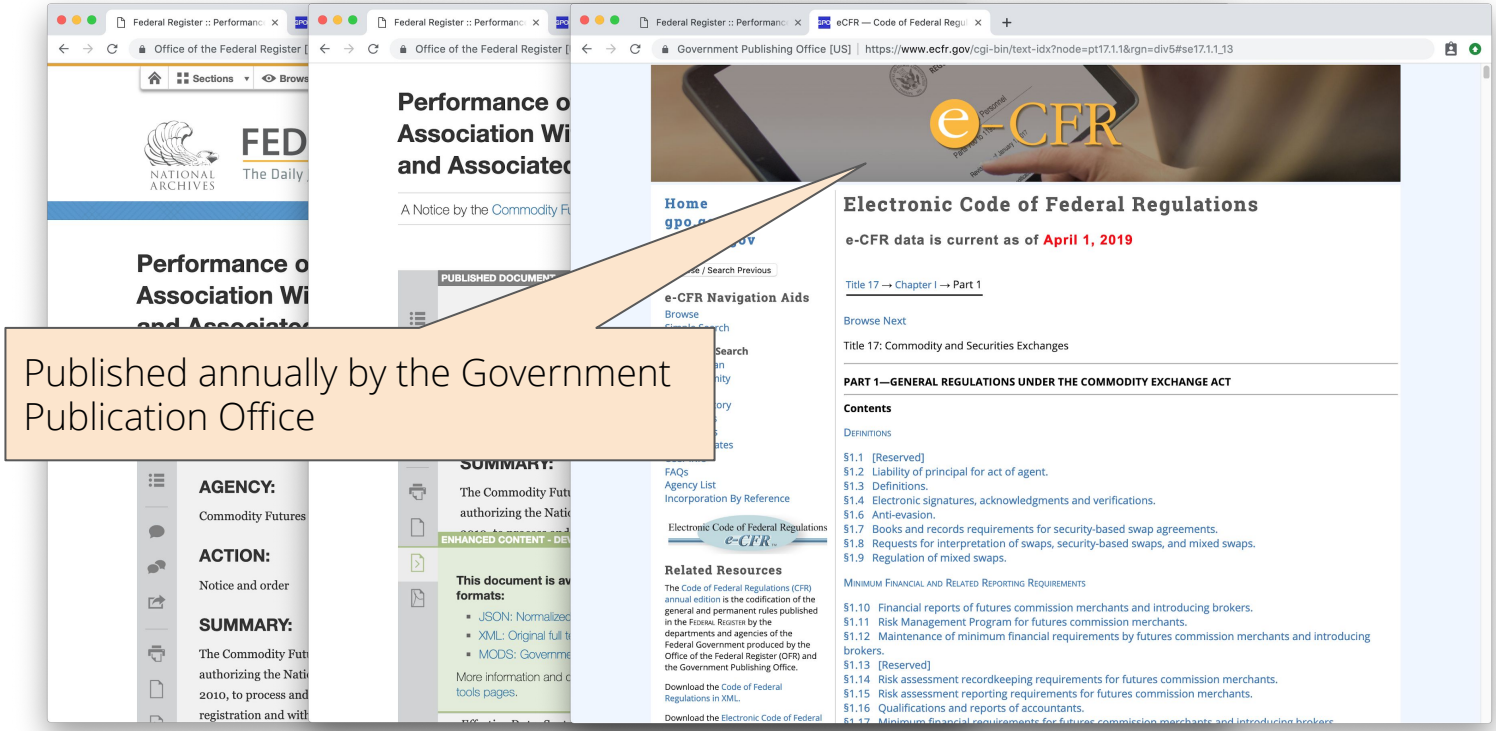

Published annually by the Government Publication Office

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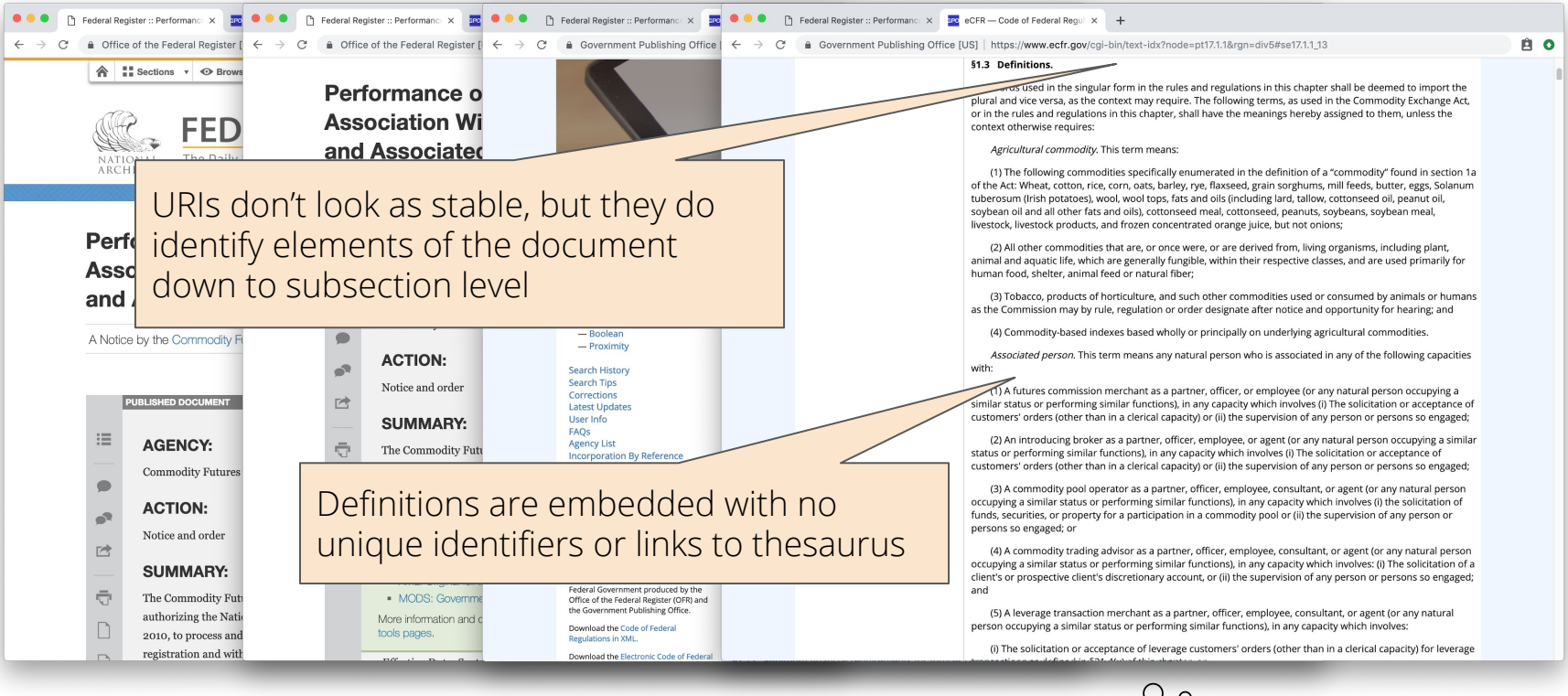

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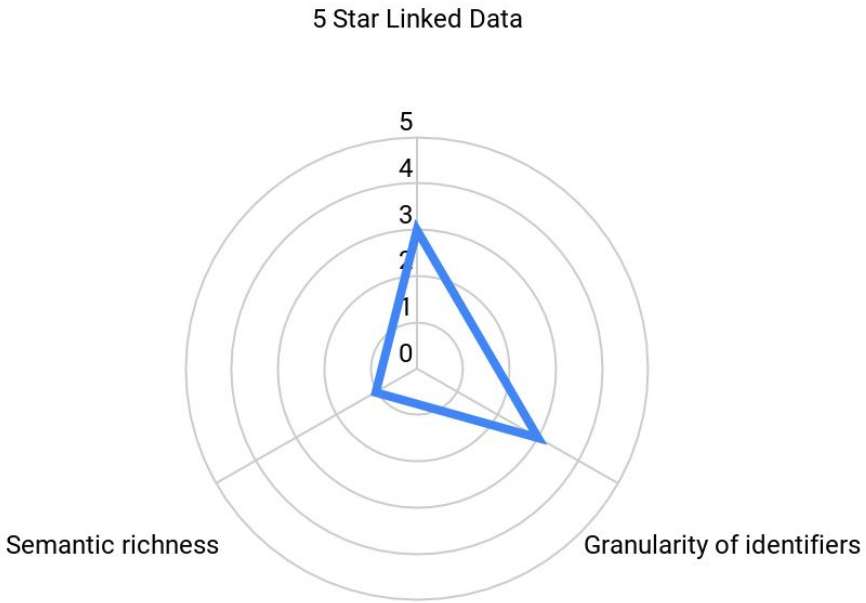
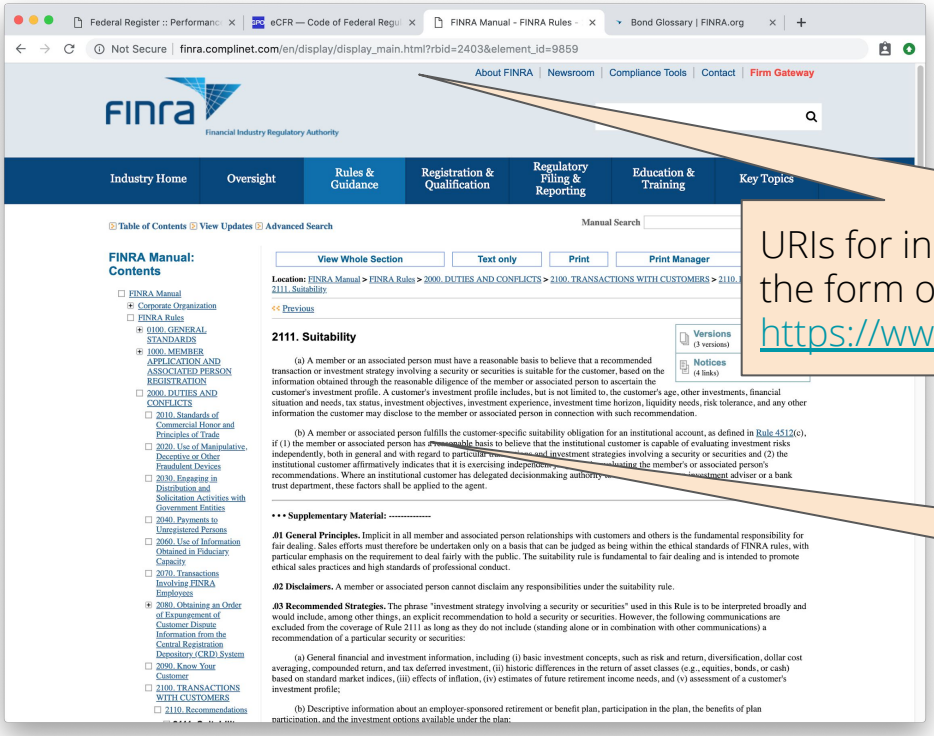


FINRA (US Self-Regulatory Organization)



URIs for individual documents, also available in the form of https://www.finra.org/finramanual/rules/r2111/

No links to thesaurus for concept definitions

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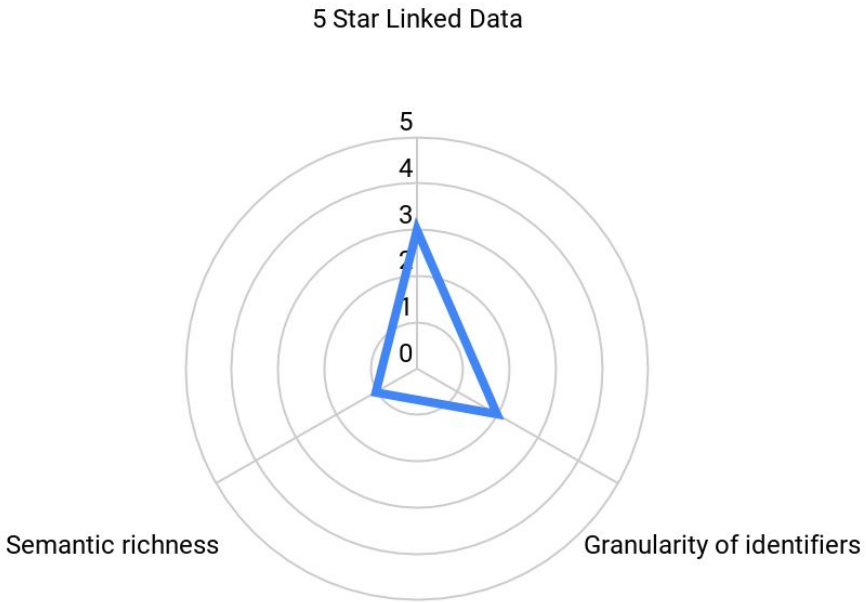

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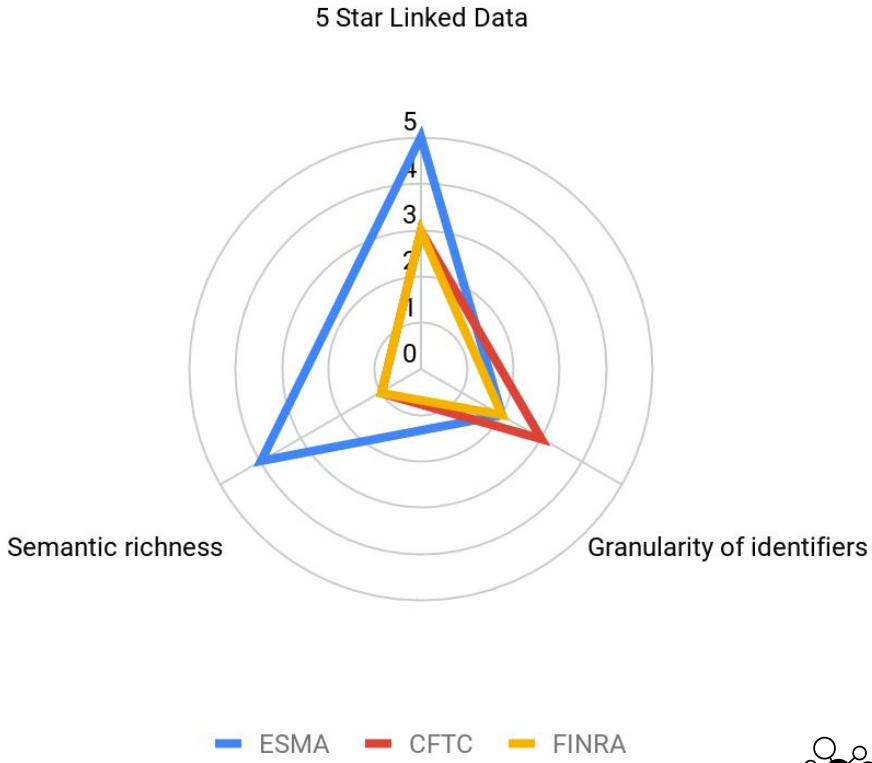
Tool to Drive Change



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Q&A

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